

RFA #18482
New York State Grants Gateway # DOH01-TRANS4-2022

Translational Research Projects (TRP) in Spinal Cord Injury
(Round 4)

QUESTIONS and ANSWERS

**October 13, 2021 – November 8, 2021 Including an
applicant conference on November 2, 2021**

Please note that the slides from the Applicant Conference are attached to the end of this Questions and Answers document for reference.

1. What would “Applicant Contributed Funds” look like? (Att. 2 page 9)
 - A. “Applicant Contributed Funds” would be described as; “in-kind funds” to support the project beyond the available funding for this RFA.
2. Generally, when does the DOH see applications initiated in the Grants Gateway?
 - A. There is no set time for people to begin submitting applications. Once the application is complete, prospective grantees are **strongly encouraged** to submit their applications at least 48 hours prior to the due date and time. This will allow sufficient opportunity for the applicant to obtain assistance and take corrective action should there be a technical issue with the submission process. **Failure to leave adequate time to address issues identified during this process may jeopardize an applicant’s ability to submit their application.** Both NYSDOH and Grants Gateway staff are available to answer applicant’s technical questions and provide technical assistance prior to the application due date and time. Contact information for the Grants Gateway Team is available under Section IV. B. of this RFA. No applications are opened or reviewed until after the close of the application period as noted on the cover page of the RFA.
3. Why are there two workplans in the application?
 - A. The Translational Plan Narrative – Form 10, will be used by the peer reviewers to understand the full context and details of the proposed research plan. See RFA Attachment 2 for instructions. The On-line Workplan will be included in a system-generated contract using a standardized format. Both are peer reviewed, so consistency between the two is important.
4. Can a Spinal Cord Injury Research Board member be named in an application?
 - A. No, a SCIRB member cannot be named in an application.

5. Is there a checklist that a PI can use to see whether they have completed everything for application submission?
 - A. To ensure that all mandatory pass/fail items and penalty items are adequately addressed, see RFA Attachment 2, page 1. The Grants Gateway requires other forms to be completed and submitted as well. See the instructions provided in Pre-Submission Uploads and Program Specific Questions. If files are not uploaded, you will receive an error message describing what is missing. NOTE: The Grants Gateway does not assess the content or file format of an upload, only if a file upload was successful.



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Translational Research Projects (TRP) in Spinal Cord Injury (Round 4) RFA Applicant Conference



November 16, 2021

Presenter: David Googins

Today's Agenda

1. Administrative Items

- Important deadlines and requirements

2. Overview

- RFA currently posted in the NYS Grants Gateway

3. RFA Attachments

- Attachments 1-13
- Expenditure Budget
- Online Work Plan

4. Review and Award Process

5. Grants Gateway Overview

6. Your Questions



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Administrative Items



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See RFA cover sheet

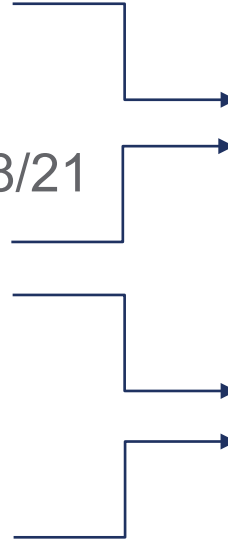
Important Dates

Letter of intent due: 10/27/21

Substantive questions due: 11/08/21

Questions, answers
and updates posted: 11/15/21

Applications due: 12/09/21
by 4PM EST



EMAIL
scirb@health.ny.gov

<https://grantsgateway.ny.gov>



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Administrative Requirements

- Not-for-profits must be Registered and Prequalified in the NYS Grants Gateway (*RFA Section IV. M.*)
- Properly prepare and submit all required Forms (*RFA and RFA Attachment 2*)
- Freedom of Information Law (*RFA Section V. B.*)
- The experimental design and implementation of clinical therapies and devices must be carried out in accordance with GLP, GCP, GCLP and GMP standards consistent with the requirements of the FDA (*RFA Section III.*)



★ The following table provides a snapshot of which roles are allowed to Initiate, Complete, and Submit the Grant Application(s) in the Grants Gateway

Role	Create and Maintain user Roles	Initiate Application	Complete Application	Submit Application	Only view the Application
Delegated Admin	✓				
Grantee		✓	✓		
Grantee Contract Signatory		✓	✓	✓	
Grantee Payment Signatory		✓	✓		
Grantee System Administrator		✓	✓	✓	
Grantee View Only					✓

Quick Contacts & Links

See RFA cover sheet & pgs. 6-7

Extramural Grants Administration

New York State Department of Health

Phone: 518-474-7002

scirb@health.ny.gov

Agate Technical Support Help Desk

Phone: 1-800-820-1890

Hours: Monday thru Friday 8am-8pm

helpdesk@agatesoftware.com

(Technical Questions)

Grants Team

Phone: 518-474-5595

Hours: Monday thru Friday 8am-4:30pm

grantsgateway@its.ny.gov

**(Application Completion, Policy, and
Registration Questions)**

[https://grantsmanagement.ny.gov/live-
webinars](https://grantsmanagement.ny.gov/live-webinars)

[https://grantsmanagement.ny.gov/live-
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RFA Overview



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SCIRB's Mission & Goal

Stimulate high-quality, innovative spinal cord injury (SCI) research that will help promote treatment and cure for SCI, including methods for reversing paralysis or restoring function caused by injury, or for minimizing or preventing damage occurring during acute phases of injury.



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Purpose of the RFA

To advance well-proven hypotheses and early translational research findings into mid/late-stage translational and/or pre-clinical research that has a clear and feasible translational path to clinical application.



Successful Applications Should

- ★ Identify a specific *clinical application** and include a detailed Translational Plan from the starting point of the application to the envisioned patient health outcome.
- **Clinical application*: the ability to utilize the resulting outcomes(s) in a medical setting by curing/preventing SCI paralysis following acute injury or trauma.



Successful Applications Should



Include a detailed Translational Plan that will

- Establish quantifiable milestones and key decision points,
- Provide contingency plans to address impediments that could require a revision to the timeline,
- Outline the critical path to accomplish goals, and
- If Phase I and/or Phase II clinical trials are proposed, there must be patient monitoring and follow up that extends beyond the contract term.



Available Funds

Approximately
\$8 million is available to
support approximately
two (2) awards.



Anticipated Contract

Five (5) years

- Anticipated Contract start date: 10/01/2022
- Annual direct costs are capped at \$1,000,000 per year
 - Facilities and Administrative (F&A) costs up to 20% of modified total direct costs



See *RFA* pg. 4

Who Should Apply?

- **Research Team** should be comprised of investigators that has prior success working with relevant for-profit and regulatory entities.
- Each member's role should be relevant and evident that they are essential to the project.



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See RFA pg. 4

Who May Apply?

- **Lead applicant** must be a New York State not-for-profit organization or governmental organization.
- The eligible Principal Investigator (PI), designated by the applicant organization, will have a record of effective scientific leadership and provide the vision, strategy, direction and fiscal accountability to the overall project.
- A PI may only submit one (1) application in response to this RFA, regardless of the organization under which (s)he submits the applications.



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See RFA pg. 4

Eligibility to Apply Also Includes the Following Items

- The PI can not be restricted from receiving Public Health Service (PHS) funding or debarred by the United States Food and Drug Administration (FDA) or any other federal or New York State government entity (see RFA Section II.)
- The application cannot propose:
 - support for a research center,
 - support for a Phase III clinical trial, or
 - expansion of enrollment for an ongoing clinical trial



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RFA Attachments 1-12



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See RFA pg. 5&6

Prior to beginning the application process

☒ Complete the Self-Assessment Checklist: Attachment 11

ATTACHMENT 11 Self-Assessment Checklist

This checklist is a means for the applicant to gauge the appropriateness of the intended project for this specific funding mechanism. The prospective applicant is advised to consider each question carefully before deciding and investing time in the preparation of an application. A checklist with affirmative (Yes) responses indicates that the project is likely to be considered responsive to the RFA and "ready" for this funding mechanism. NOTE: This form is optional and will not be submitted as part of the application.

Self-Assessment Criteria	Yes	No
1. Is the proposed objective consistent with the mission of the SCIRB and the intent of the RFA (see Section I, Introduction)?		
• Will it advance well-proven hypotheses and early translational findings into mid/late-stage translational and/or pre-clinical research? OR	<input type="checkbox"/>	<input type="checkbox"/>
• Will it validate and optimize or iteratively refine devices, tools and technologies to treat or cure of SCI paralysis in ways that significantly improve current capabilities? AND	<input type="checkbox"/>	<input type="checkbox"/>
• Will it promote treatment and cure for SCI, including methods for reversing paralysis or restoring function caused by injury, or for minimizing or preventing damage occurring during acute phases of injury?	<input type="checkbox"/>	<input type="checkbox"/>
2. Will the application meet the expectations for the funding mechanism (see Section III, Project Narrative/Translational Workplan Outcomes)?		
• Is the data developed by the participating investigators robust and does it demonstrate proof-of-principle in an appropriate pre-clinical model? AND	<input type="checkbox"/>	<input type="checkbox"/>
• Have early translational findings indicated that mid/late-stage translational research is warranted? AND	<input type="checkbox"/>	<input type="checkbox"/>
• Is the proposed project intended to result in the development and commercialization of products, technology, tools, treatments and therapies for SCI? AND	<input type="checkbox"/>	<input type="checkbox"/>
• Is the project streamlined and focused to provide a clear and direct path to clinical application? AND	<input type="checkbox"/>	<input type="checkbox"/>
• Will the work be completed within the period of the award achieve a significant, measurable advance toward a specific clinical application? AND	<input type="checkbox"/>	<input type="checkbox"/>
• Can quantifiable milestones and key decision points be identified to track progress toward clinical application, and are the timelines for completion of the project specific and reasonable? AND	<input type="checkbox"/>	<input type="checkbox"/>
• Will there be a detailed Translation Plan from the starting point for the application to the envisioned patient health outcome? AND	<input type="checkbox"/>	<input type="checkbox"/>
• Will the Translation Plan explicitly state how results that are to be obtained within the period of the award will inform and enable the next steps toward clinical application (does it clearly outline a plan to utilize the resulting outcome(s) of the research project to improve SCI patient health in a medical setting by curing SCI paralysis or preventing paralysis following acute injury or trauma)? AND	<input type="checkbox"/>	<input type="checkbox"/>
• Does the application capitalize on collaborative approaches between research institutions, businesses and regulatory agencies? AND	<input type="checkbox"/>	<input type="checkbox"/>
• If the application timeline includes Phase I or Phase II clinical or device trials, is there	<input type="checkbox"/>	<input type="checkbox"/>

Download in the Pre-Submission Uploads Section of the Grants Gateway



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See RFA pg. 7

Attachment 1: Letter Of Intent (LOI)

Provide the following using the LOI Form:

- Descriptive title of the proposed project
- Summary paragraph of the intended project
- List all participants involved in the proposed project

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in the Pre-
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*Email to
scirb@health.ny.gov*



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Attachment 2: Application Checklist and Instructions

- Mandatory Pass/Fail Items
- Appendices
- Application Penalties
- Prescribed Format

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Attachment 3: Application Forms 1-5

1. Applicant Face Page
2. Staff, Collaborators, Consultants and Contributors
3. Acronyms and Abbreviations Used in Application
4. Lay Abstract
5. Scientific Abstract

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Attachment 3: Application Forms 1-5 (continued)

Form 1 - Applicant Face Page

Project Title

Award Mechanism (e.g. ES/AM/AM+ED)

Referral:

Early Stage Investigator

Continuation Application

Restart Application

(If Yes, please provide previous application number if not N/A)

No

No

No

Yes

Yes

Yes

Principal Investigator/Program Director/Sponsor:

Principal Investigator/Program Director/Sponsor at different locations, or Principal Investigator/Sponsor at different locations

PI Last Name

PI First Name

Co-PI Last Name

Co-PI First Name

PI MSc or BS

PI Degree

Co-PI MSc or BS

Co-PI Degree

PI Organization

Co-PI Organization

PI Department

Co-PI Department

PI Mailing Address (Street, MS, P.O. Box, City, State, Zip):

Co-PI Mailing Address (Street, MS, P.O. Box, City, State, Zip):

PI Street1

Co-PI Street1

PI Street2

Co-PI Street2

PI City

Co-PI City

PI State: NY

PI Zip

Co-PI State: NY

Co-PI Zip

PI Phone

Co-PI Phone

PI Fax

Co-PI Fax

FI E-mail: <input style="width: 80%;" type="text"/>	CO FI E-mail: <input style="width: 80%;" type="text"/>
---	--

Project Start Date: <input style="width: 80%;" type="text"/>	Year One Total Cost: <input style="width: 80%;" type="text"/>
Project End Date: <input style="width: 80%;" type="text"/>	Grand Total Cost: <input style="width: 80%;" type="text"/>

New York State Applicant Organization (NYO): NYO Name: <div style="border: 1px solid black; height: 100px; width: 100%; margin-top: 5px;"></div>	Contracts or Grants Official (GO): GO Last Name: <input style="width: 80%;" type="text"/> GO First Name: <input style="width: 80%;" type="text"/> GO Title: <input style="width: 80%;" type="text"/>
--	--

NYO Mailing Address: NYO Street1: <input style="width: 80%;" type="text"/> NYO Street2: <input style="width: 80%;" type="text"/> NYO City: <input style="width: 80%;" type="text"/> NYO State: NY NYO Zip: <input style="width: 80%;" type="text"/>	GO Mailing Address: GO Street1: <input style="width: 80%;" type="text"/> GO Street2: <input style="width: 80%;" type="text"/> GO City: <input style="width: 80%;" type="text"/> GO State: NY GO Zip: <input style="width: 80%;" type="text"/>
---	---

NYO Phone: <input style="width: 80%;" type="text"/>	GO Phone: <input style="width: 80%;" type="text"/>
NYO Fax: <input style="width: 80%;" type="text"/>	GO Fax: <input style="width: 80%;" type="text"/>

NYO E-mail: <input style="width: 80%;" type="text"/>	GO E-mail: <input style="width: 80%;" type="text"/>
--	---

For Review by State:



Attachment 3: Application Forms 1-5 (continued)

Form 2 – Staff, Collaborators, Consultants and Contributors

Last Name	First Name	Title	Institutional Affiliation	Role in Project
				PVPD
				PVPD PI (Sponsor) Co-PI/Co-PD Research Scientist Co-Investigator Collaborator Mentor Consultant Postdoc Applicant Fellow PVPD
				PVPD

Application Form 2



Attachment 3: Application Forms 1-5 (continued)

Form 3 Acronyms and Abbreviations Used in Application

Acronym	Full Text/Definition/Description

Application Form 3



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Attachment 3: Application Forms 1-5 (continued)

Form 4 - Lay Abstract

Lay Abstract

Application Form 4

Research Category

Rehabilitation
Cellular Regeneration & Therapeutics

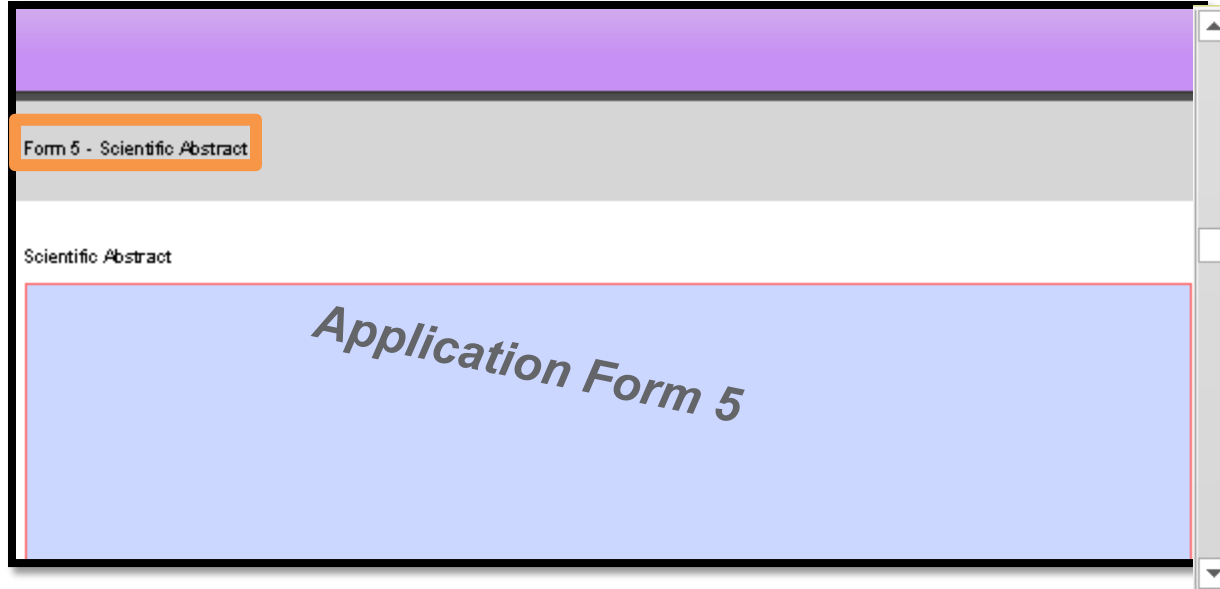
Comments



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Attachment 3: Application Forms 1-5 (continued)



The screenshot shows a web browser window displaying a form. At the top is a purple header bar. Below it is a grey bar with the text "Form 5 - Scientific Abstract" highlighted by an orange box. Underneath is a white bar with the text "Scientific Abstract". The main content area is a large light blue rectangle with the text "Application Form 5" written diagonally across it. A vertical scrollbar is visible on the right side of the form.



Below the form, there are two input fields. The first field is labeled "Contains Confidential or Proprietary Material" and is highlighted by an orange box. It has two radio button options: "No" and "Yes". The second field is labeled "Comments" and is also highlighted by an orange box. It contains a large light blue rectangular area for text entry.



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Attachment 4: Application Form 1-S

Form 1-S, Sub-Applicant Face Page

Project Title:

RFAP:

Principal Investigator/Program Director/Sponsor:

PI Last Name: PI First Name: Co-PI Last Name: Co-PI First Name:

PI Middle Initial: Co-PI Middle Initial: Co-PI Degree:

Overall Project Co-PI: ☐ Yes ☐ No

PI Organization:

PI Department:

PI Mailing Address (Street, MS, PO Box, City, State, Zip):

PI Street1: PI Street2: PI City: PI State: PI Zip:

PI Phone: PI Fax: PI Email:

Co-PI Organization:

Co-PI Department:

Co-PI Mailing Address (Street, MS, PO Box, City, State, Zip):

Co-PI Street1: Co-PI Street2: Co-PI City: Co-PI State: Co-PI Zip:

Co-PI Phone: Co-PI Fax: Co-PI Email:

Final Start Date: **Final End Date:**

Grand Total Cost: **Year One Total Cost:**

Sub-Applicant Organization (SAO):

SAO Name:

Contracts or Grants Official (GO):

GO Last Name: GO First Name: GO Title:

SAO Mailing Address:

SAO Street1: SAO Street2: SAO City: SAO State: SAO Zip:

SAO Phone: SAO Fax: SAO Email:

GO Mailing Address:

GO Street1: GO Street2: GO City: GO State: GO Zip:

GO Phone: GO Fax: GO Email:

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Online Budget and Justification (Year 1)

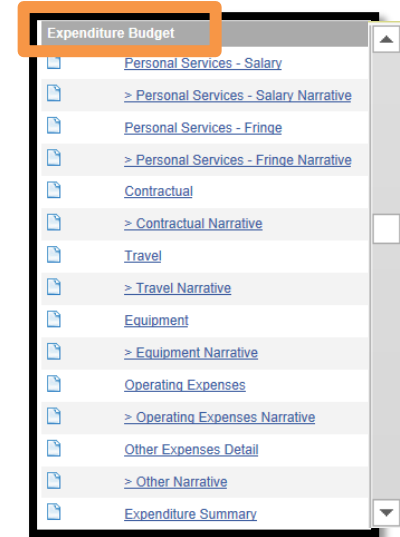
Menu **Forms Menu** Status Changes Management Tools Related Documents and Messages

Translational Research Projects (TRP) in Spinal Cord Injury (Round 3) Menu - Forms

Please complete all required forms below.

Forms Menu → Scroll down to Expenditure Budget Section

- Complete each form and narrative of the Online Budget for Year One



Attachment 5: Application Form 6 (Years 2-5)

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**FORM 6 - EXPENDITURE BASED BUDGET
YEAR TWO SUMMARY**

PROJECT NAME: Translational Research Projects (TRPs) in Spinal Cord Injury (Rd 2)

CONTRACTOR SFS PAYEE NAME:

YEAR TWO CONTRACT PERIOD From: 11/1/2018 To: 10/31/2019

CATEGORY OF EXPENSE	GRANT FUNDS	MATCH FUNDS	MATCH %	OTHER FUNDS	TOTAL
1. Personal Services					
a) Salary	\$ 0	0	0	0	\$ -
b) Fringe	\$ -	0	0	0	\$ -
Subtotal	\$ -	0	0	0	\$ -
2. Non Personal Services					
a) Contractual Services	\$ -	0	0	0	\$ -
b) Travel	\$ -	0	0	0	\$ -
c) Equipment	\$ -	0	0	0	\$ -
d) Space Property & Utilities	\$ -	0	0	0	\$ -
e) Operating Expenses	\$ -	0	0	0	\$ -
f) Other	\$ -	0	0	0	\$ -
Subtotal	\$ -	0	0	0	\$ -
TOTAL	\$ -	0	0	0	\$ -

RFA Number: # 1610070403
Page 1 of 5, Attachment B-1 - Expenditure Based Budget

BUDGET JUSTIFICATION

PROJECT NAME: Translational Research Projects (TRPs) in Spinal Cord Injury (Rd 2)

CONTRACTOR SFS PAYEE NAME: 0

BUDGET YEAR (YEAR 5): From: 11/1/2021 To: 10/31/2022

CATEGORY OF EXPENSE	BUDGETED
1. Personal Services	
a) Salary	
1. 1.	\$ -
2. 2.	\$ -
3. 3.	\$ -
4. 4.	\$ -
5. 5.	\$ -
6. 6.	\$ -
7. 7.	\$ -
8. 8.	\$ -
9. 9.	\$ -
10. 10.	\$ -
11. 11.	\$ -
12. 12.	\$ -
13. 13.	\$ -
14. 14.	\$ -

BUDGET JUSTIFICATION

Tab 1, Total Budget

Tab 2, Justification



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Attachment 6: Application Form 6-S (Years 1-5)

FORM 6-S - EXPENDITURE BASED BUDGET
YEAR ONE SUMMARY (SUBCONTRACT #1)

PROJECT NAME: Translational Research Projects (TRPs) in Spinal Cord Injury (Rd 2)

SUBCONTRACTOR #1 NAME:

YEAR ONE CONTRACT PERIOD From: 11/1/2017 To: 10/31/2018

Sub-Applicant Budget

CATEGORY OF EXPENSE	GRANT FUNDS	MATCH FUNDS	MATCH %	OTHER FUNDS	TOTAL
1. Personal Services					
a) Salary	\$ -	0	0	0	\$ -
b) Fringe	\$ -	0	0	0	\$ -
Subtotal	\$ -	0	0	0	\$ -
2. Non Personal Services					
a) Contractual Services	\$ -	0	0	0	\$ -
b) Travel	\$ -	0	0	0	\$ -
c) Equipment	\$ -	0	0	0	\$ -
d) Space/Property & Utilities	\$ -	0	0	0	\$ -
e) Operating Expenses	\$ -	0	0	0	\$ -
f) Other	\$ -	0	0	0	\$ -
Subtotal	\$ -	0	0	0	\$ -
TOTAL	\$ -	0	0	0	\$ -

RFA Number: # 161007043
 Page 1 of 5, Attachment B-1 - Expenditure Based Budget

FORM 6-S - EXPENDITURE BASED BUDGET
PERSONAL SERVICES DETAIL

POSITION TITLE	ANNUALIZED SALARY PER	STANDARD WORK	PERCENT OF	NUMBER OF	TOTAL
SUBCONTRACT #1 TOTAL BUDGET					

SUBCONTRACT #1 JUSTIFICATION SUBCONTRACT

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Attachment 7: Application Forms 7-12

- 7. Biographical Sketch
- 8. Facilities and Resources
- 9. Introduction
- 10. Translational Workplan Narrative
- 11. Human Subjects
- 12. Vertebrate Animals

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Attachment 7: Application Forms 7-12

Form 7 – Biographical Sketch

NAME: _____

POSITION TITLE: _____

EDUCATION/TRAINING (Begin with baccalaureate or other initial professional education, such as nursing, include postdoctoral training and residency training if applicable. Add/delete rows as necessary.)

INSTITUTION AND LOCATION	DEGREE (if applicable)	Completion Date MM/YYYY	FIELD OF STUDY

A. Personal Statement

B. Positions and Honors

C. Contribution to Science

D. Research Support



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Attachment 7: Application Forms 7-12

Form 8 – Facilities and Resources

Laboratory:

Clinical:

Animal:

Computer:

Office:

Other:

MAJOR EQUIPMENT:

Application Form 8

2



Attachment 7: Application Forms 7-12

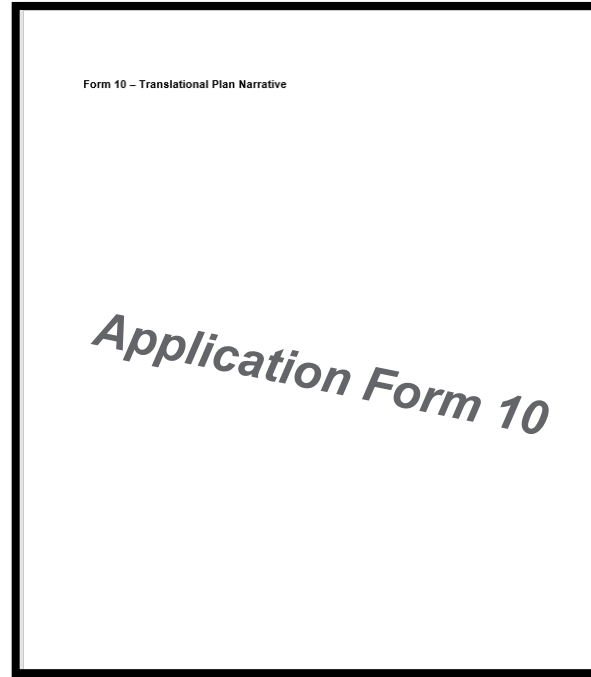


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Attachment 7: Application Forms 7-12

- A. Significance
- B. Background and Preliminary Results
- C. Research and Development Plan
- D. Milestones, Key Decision Points Timeline
- E. Project Management and Coordination Strategy
- F. Literature Cited



PAGE LIMITS Sections A-C:

- ***25 page limit***



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Attachment 7: Application Forms 7-12

Form 11 – Human Subjects
SECTION A:

1. Applicant/Sub-applicant Institution:

2. Are Human Subjects involved? ☐ Yes ☐ No

3. Is the project Exempt from federal regulations? ☐ Yes ☐ No

4. If YES to #3, what is the Exemption number? ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐ 6

5. If NO to #3, is the IRB review Pending? ☐ Yes ☐ No

6. IRB Approval Date (leave blank only if Yes to #5):

7. IRB Protocol Approval Number (leave blank only if Yes to #5):

SECTION B – NARRATIVE (use additional pages if necessary)

Application Form 11

5

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Attachment 7: Application Forms 7-12

Form 12 – Vertebrate Animals

SECTION A:

1. Applicant/Sub-applicant Institution:

2. Are Vertebrate Animals involved? ☐ Yes ☐ No

3. Is the IACUC Review Pending? ☐ Yes ☐ No

4. IACUC Approval Date (leave blank only if YES to #3):

5. IACUC Protocol Approval Number (leave blank only if YES to #3):

SECTION B – NARRATIVE (use additional pages if necessary)

Application Form 12

6

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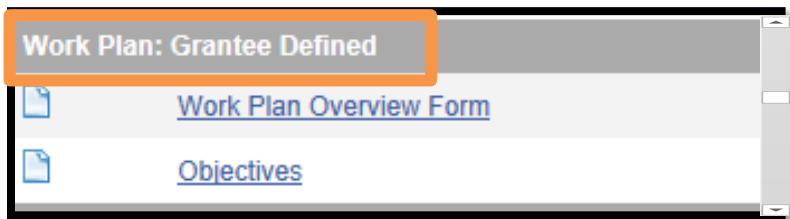
Online Workplan

 [Menu](#)  **[Forms Menu](#)**  [Status Changes](#)  [Management Tools](#)  [Related Documents and Messages](#)

Translational Research Projects (TRP) in Spinal Cord Injury (Round 2) Menu - Forms

Please complete all required forms below.

Forms Menu → Scroll down to Work Plan: Grantee Defined



- Complete the Work Plan Overview Form and
- Objectives

Project Narrative/Translational Plan Outcomes

- The application may not include any scientific, budgetary or commitment overlap with other awards that will be active beyond the anticipated start date of the award.
- Funded projects may include program implementation (Phase I and/or Phase II clinical or device trials) which goes beyond the contract period and there should be documented institutional commitment from an appropriate official for patient monitoring and follow-up.



Attachment 8: Application Form 13

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ATTACHMENT 8

Form 10 (DOH01-PBR/NS-2019) – Other Support

KEY PERSONNEL:

☐ - check here if this person has no other source of Active or Pending support.

ACTIVE AWARDS												
Title	Description	Principal Investigator	Award Number	Funding Agency	Start Date	End Date	Professional Effort (%)	Breast Cancer Related?	If yes, include the specific aims.	Overlap?	If yes, describe the intended resolution.	
							Total Professional Effort:	0.0%				

PENDING AWARDS												
Title	Description	Principal Investigator	Application Number	Funding Agency	Start Date	End Date	Professional Effort (%)	Breast Cancer Related?	If yes, include the specific aims here.	Overlap?	If yes, describe the intended resolution.	
							Total Effort (Active & Pending):	0.0%				



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See RFA pg. 14

Attachment 9: Vendor Responsibility Attestation

ATTACHMENT 9
Vendor Responsibility Attestation

To comply with the Vendor Responsibility Requirements outlined in Section IV, Administrative Requirements, L. Vendor Responsibility Questionnaire, I hereby certify:

Choose one:

☐ An on-line Vendor Responsibility Questionnaire has been updated or created at OSC's website: <https://portal.osc.state.ny.us> within the last six months.

☐ A Vendor Responsibility Questionnaire is not required due to an exempt status. Exemptions include governmental entities, public authorities, public colleges and universities, public benefit corporations and Indian Nations.

Signature of Organization Official: _____

Print/type Name: _____

Title: _____

Organization: _____

Date Signed: _____

***Download &
Upload in the
Pre-Submission
Uploads Section
of the Grants
Gateway***



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Attachment 10: Minority & Women-Owned Business Enterprise Requirement Forms

See RFA pg. 12-13

- MWBE Utilization Plan (3 pages)
- MWBE Utilization Waiver Request
- Staffing Plan
- Equal Opportunity Policy Statement
- Instructions

MWBE Form #1
New York State Department of Health
MWBE UTILIZATION PLAN

Applicant/Grantee Name: <input type="text"/>	
Vendor ID: <input type="text"/>	Telephone No. <input type="text"/>
RFA/Contract Title: <input type="text"/>	RFA/Contract No. <input type="text"/>

Description of Plan to Meet MWBE Goals (Use pages 2-3 to provide specific M and W subcontractor information)

Attachment 10

PROJECTED MWBE USAGE		%	Amount
1. Total Dollar Value of Eligible Expenditures on Budget (Does not include Personal Services, Fringe, Rent, Space, Utilities)	<input type="text"/>	<input type="text"/>	\$ <input type="text"/>
2. MBF Goal Applied to Eligible Expenditures	<input type="text"/>	<input type="text"/>	\$ <input type="text"/>
3. WBE Goal Applied to Eligible Expenditures	<input type="text"/>	<input type="text"/>	\$ <input type="text"/>
4. MWBE Combined Eligible Expenditure Totals*	<input type="text"/>	<input type="text"/>	\$ <input type="text"/>

*Making false representation or including information evidencing a lack of good faith as part of, or in conjunction with, the submission of a Utilization Plan is prohibited by law and may result in penalties including, but not limited to, termination of a contract for cause, loss of eligibility to submit future bids, and/or withholding of payments. Firms that do not perform commercially useful functions may not be counted toward MWBE utilization.

*If less than the stated goal in RFA, Form #2 is required. Form #1 - Page 1 of 3

Page 2 of 11 Revised: 4/2015

Download & Upload in the Pre-Submission Uploads Section of the Grants Gateway

Attachment 12: Online Workplan Continuation Form

OBJECTIVE	TASKS	PERFORMANCE MEASURES
Objective Name:	Task Name:	Performance Measure Name:
1.	1.1	1.1.1
		Performance Measure Name:
		1.1.2
		Performance Measure Name:
		1.1.3
	Task Name:	Performance Measure Name:
		1.2
		Performance Measure Name:
		1.2.2
Task Name:	Performance Measure Name:	
	1.3	
	Performance Measure Name:	
	1.3.2	
	Performance Measure Name:	
	1.3.3	

Attachment 12

Download in the Pre-Submission Uploads Section of the Grants Gateway and upload completed form in “Workplan Properties” section if necessary.



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Review and Award Process



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Review and Award Process

- Administrative Pass/Fail
- Peer Review Process
- Spinal Cord Injury Research Board (SCIRB) Review
- Contract Execution



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Review Criteria

1. Feasibility and Translational/Clinical Potential **40%**
2. Innovativeness and Approach **25%**
3. Investigators and Environment **15%**
4. Budget **20%**



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Debriefing

- All applicants may request a debriefing by sending an email to scirb@health.ny.gov.
- Requests must be received no later than 15 business days from date of award or non-award announcement.



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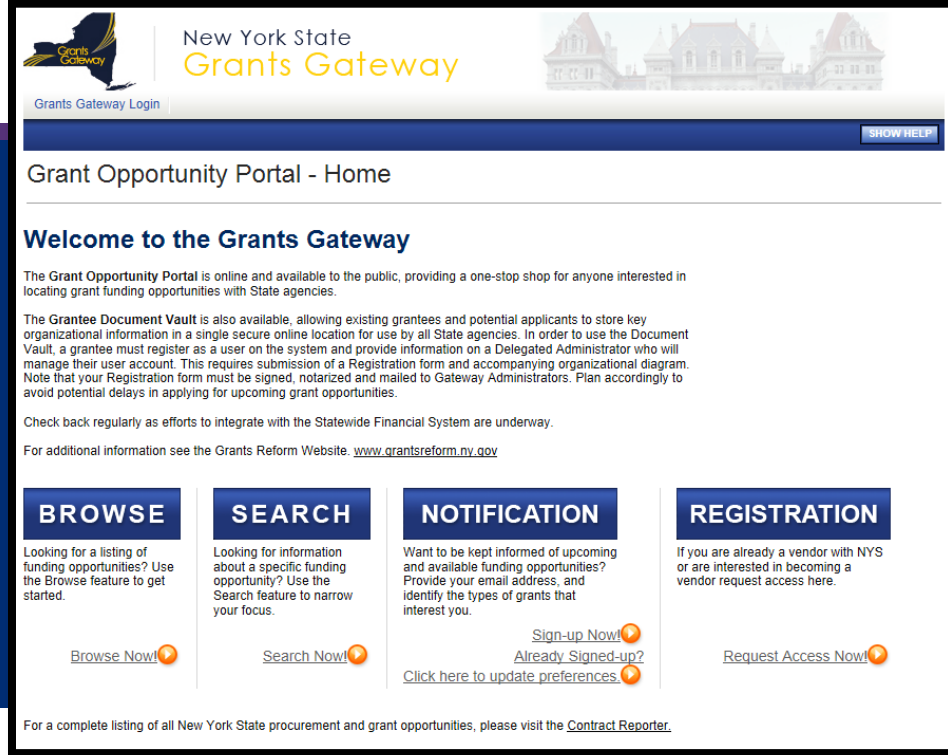
Grants Gateway Overview



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<https://grantsgateway.ny.gov/>



New York State
Grants Gateway

Grants Gateway Login

SHOW HELP

Grant Opportunity Portal - Home

Welcome to the Grants Gateway

The **Grant Opportunity Portal** is online and available to the public, providing a one-stop shop for anyone interested in locating grant funding opportunities with State agencies.

The **Grantee Document Vault** is also available, allowing existing grantees and potential applicants to store key organizational information in a single secure online location for use by all State agencies. In order to use the Document Vault, a grantee must register as a user on the system and provide information on a Delegated Administrator who will manage their user account. This requires submission of a Registration form and accompanying organizational diagram. Note that your Registration form must be signed, notarized and mailed to Gateway Administrators. Plan accordingly to avoid potential delays in applying for upcoming grant opportunities.

Check back regularly as efforts to integrate with the Statewide Financial System are underway.

For additional information see the Grants Reform Website. www.grantsreform.ny.gov

BROWSE

Looking for a listing of funding opportunities? Use the Browse feature to get started.

[Browse Now!](#)

SEARCH

Looking for information about a specific funding opportunity? Use the Search feature to narrow your focus.

[Search Now!](#)

NOTIFICATION

Want to be kept informed of upcoming and available funding opportunities? Provide your email address, and identify the types of grants that interest you.

[Sign-up Now!](#)
[Already Signed-up?](#)
[Click here to update preferences.](#)

REGISTRATION

If you are already a vendor with NYS or are interested in becoming a vendor request access here.

[Request Access Now!](#)

For a complete listing of all New York State procurement and grant opportunities, please visit the [Contract Reporter](#).

Grants Gateway FAQ

1. How do I apply for an opportunity?
2. Where's a copy of the RFA Attachments?
3. How do I retrieve an application I've already started?
4. How do I retrieve the Q & A document?

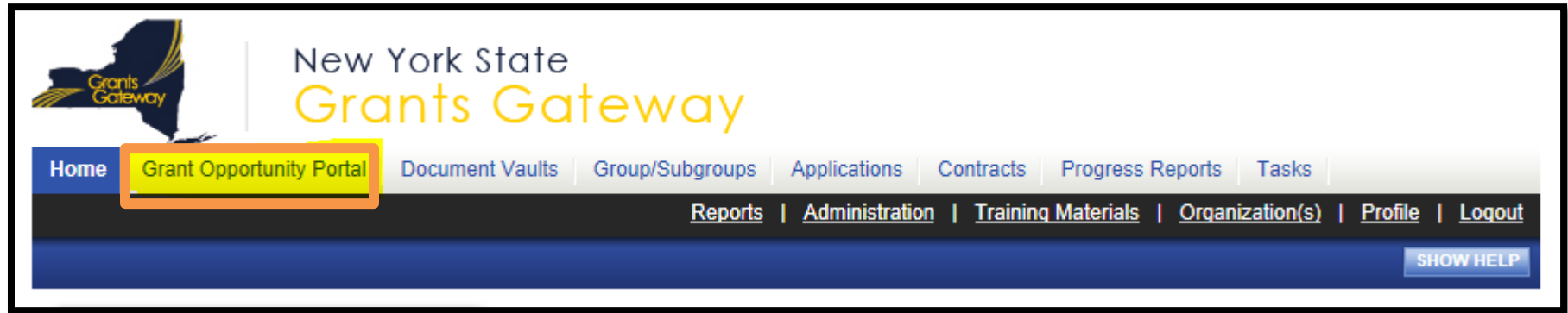


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Q1: How do I apply for an Opportunity in the Grants Gateway?

- You must be registered in the Grants Gateway to apply
 - See RFA, Section IV. M.
 - Grantee Quick Start Guide <https://grantsreform.ny.gov/Grantees>
 - Delegated Administrator



- Login
- Click on the Grants Opportunity Portal tab
- Search using the keywords (Translational), and select the Department of Health as the Funding Agency
- Click on the Grant Opportunity name
- Click Apply for Grant Opportunity to start an application



APPLY FOR GRANT OPPORTUNITY

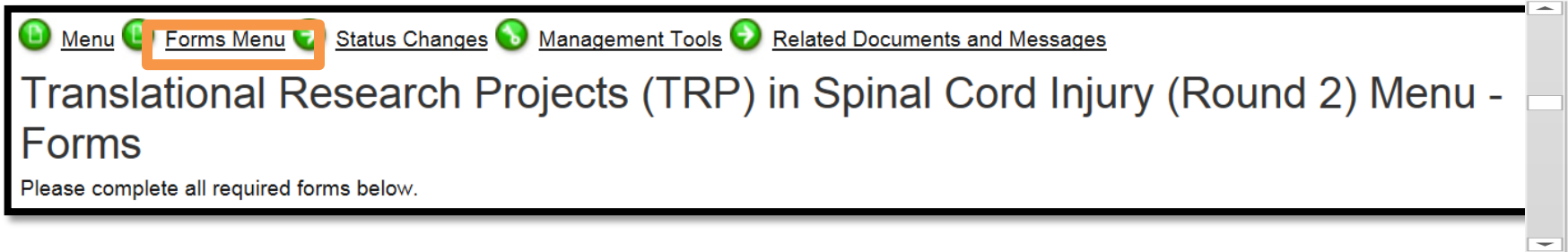
<https://grantsgateway.ny.gov>



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Q2: Where do I download the RFA Attachments?



The screenshot shows a web interface with a navigation bar at the top. The bar contains several links: 'Menu', 'Forms Menu', 'Status Changes', 'Management Tools', and 'Related Documents and Messages'. Each link is preceded by a green circular icon. The 'Forms Menu' link is highlighted with an orange rectangular box. Below the navigation bar, the main heading reads 'Translational Research Projects (TRP) in Spinal Cord Injury (Round 2) Menu - Forms'. Underneath this heading, a subtext says 'Please complete all required forms below.' A vertical scrollbar is visible on the right side of the page.

- Login
- Start an application or retrieve an application that you already started
- Click on the Forms Menu
- Scroll down to Click on Pre-Submission Uploads
- Download each attachment document template

Click on each document template to download each attachment

PRE-SUBMISSION UPLOADS

Instructions:

1. Select the **Browse** button to locate an upload.
2. Select the **Save** button above to load it into the system.
3. If the Grant Opportunity you are applying for requires that a specific document be uploaded, a link to the Document Template will appear under the upload row. Click the link to download and save the Document Template to your computer. Once you have filled out the Document Template you can use the associated **Upload** row to upload the document as part of your application.

Only upload the completed Vendor Responsibility Attestation (Attachment 9), Minority & Women-Owned Business Enterprise Requirement Forms (Attachment 10) and the optional Letter of Intent Form (Attachment 1) and Conflict of Interest Form (Attachment 8) in this Pre-Submission Uploads section. All other completed forms must be uploaded in the Program Specific Questions section.

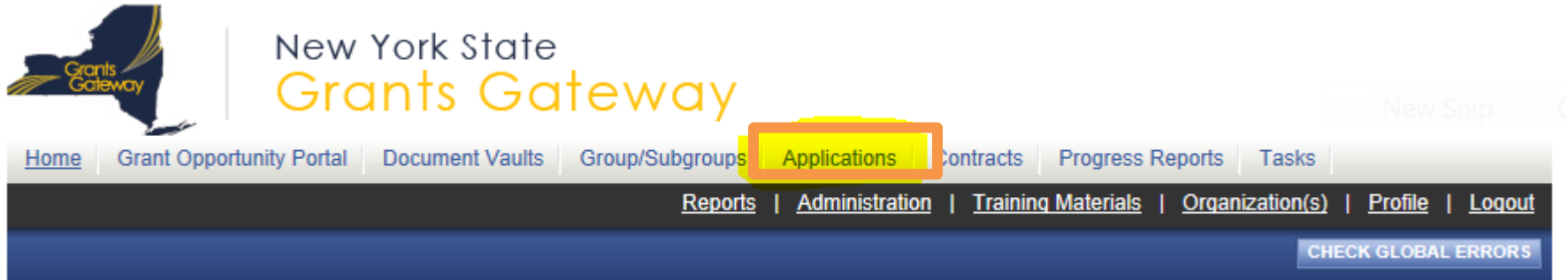
Attachment 1 - Letter of Intent Form

The prospective applicant institution is strongly encouraged to complete and submit a Letter of Intent. This form will be used to develop the review panel in a timely manner. Letters of Intent should be submitted via the Grants Gateway in the Pre-Submission Uploads section of the online application. The file name should include applicant organization and PI names. A copy must also be e-mailed to scrib@health.ny.gov. Please ensure that the RFA number, organization name and PI name are noted in the e-mail subject line. Submit the Letter of Intent via both formats by the date posted on the cover of the RFA.

Browse...

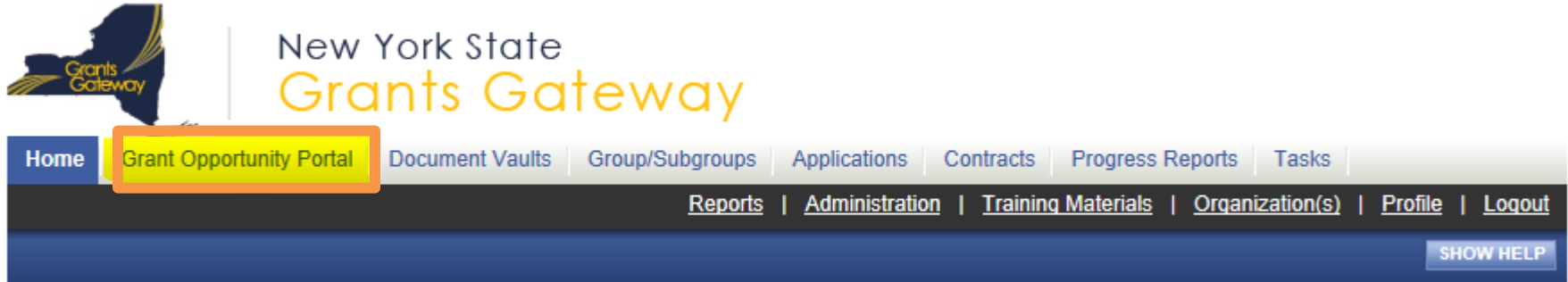
Document Template [Click here](#)

Q3: How do I retrieve an Application I've already started?



- Login
- Click on the Applications link located at the top of your home screen
- Enter search criteria to locate the application
- Click on the Application number to restart the application

Q4: How do I retrieve the Q&A document?



- Click on Grant Opportunity Portal
- Search for Opportunities in the Portal using the keywords (Translational), and select the Department of Health as the Funding Agency.
- Click on the Grant Opportunity name
- Full Document details are listed on the Grant Opportunity Profile, which includes a Questions and Answers link

<https://grantsgateway.ny.gov>



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Your Questions



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Thank you!!

November 16, 2021